## THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Applicant: JOHN R. HAMPTON, ET AL.

Serial No. 10/629,089

Filed: July 29, 2003 §

For: METHOD OF FILTERING FLUIDS

Group No.

Examiner:

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

## CERTIFICATE OF MAILING 37 C.F.R. '1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail on the Date of Deposit indicated below, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-

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Signature: Diane Bergin

## INFORMATION DISCLOSURE STATEMENT

This Information Disclosure Statement, including completed Form PTO-SB08, comprises a list of potentially relevant art of which Applicants are aware. A copy of each publication listed on Form PTO-SB08 has been provided in U.S. Application Serial Number 09/887,392, filed June 22, 2001. Copies are not enclosed herewith; however, should the Examiner request additional copies, we will provide them. The submission of this Information Disclosure Statement and Form PTO-SB08 is not an admission that the art cited is "prior" with respect to the present invention, nor is it a representation that no better art exists. Applicant hereby reserves the right to swear behind or otherwise disprove any alleged "prior" nature of any art cited should the facts support and the situation warrant such an action.

Applicants are filing this Information Disclosure Statement under 37 C.F.R. §1.97/(b)(1), i.e., within three months of the filing date of this application. No fee is believed to be due. The

(Ry #24477)

Commissioner is hereby authorized to charge any deficiency to our Deposit Account 10-0447, reference 41394-9USD1(MAB).

Respectfully submitted,

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Date: 10/29/03

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Sheet 1

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

of 1

Complete if Known				
Application Number	10/629,089			
Filing Date	July 29, 2003			
First Named Inventor	Hampton, John R.			
Art Unit				
Examiner Name				
Attorney Docket Number	41394-9USD1			

			U. S. PATENT	DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Document Number  Number-Kind Code <sup>2 (f known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	AA	<sup>US-</sup> 100,418	03-01-1870	Laughlin	
	AB	<sup>US-</sup> 1,401,976	01-03-1922	Giovannoni	
	AC	<sup>US-</sup> 1,468,906	09-25-1923	Inman	
	AD	<sup>US-</sup> 1,506,967	09-02-1924	Bosworth	
	ΑE	<sup>US-</sup> 4,298,465	11-03-1981	Druffel	
	AF	<sup>US-</sup> 4,420,392	12-13-1983	Harris	
	AG	<sup>US-</sup> 4,456,529	06-26-1984	Shinaver	
	АН	<sup>US-</sup> 4,515,690	05-07-1985	Yasuhara	
	Αl	<sup>US-</sup> 4,522,712	06-11-1985	Fischer et al.	
	AJ	<sup>US-</sup> 4,780,203	10-25-1988	Barcy	
	AK	<sup>US-</sup> 4,824,567	04-25-1989	Turman	
	AL	<sup>US-</sup> 4,933,093	06-12-1990	Keller	
	AM	<sup>US-</sup> 4,986,907	01-22-1991	Montemayor Uzeta	
	AN	<sup>US-</sup> 5,507,942	04-16-1996	Davis	
-	AO	US- 5,824,232	10-20-1998	Asher et al.	
	AP	<sup>US-</sup> 5,916,442	06-29-1999	Goodrich	
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		US-			

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	MM-DD-YYYY		Or Relevant Figures Appear	Τ'
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO/SB/21 (08-03)

Approved for use through 08/30/2003. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Application Number 10/629,089 TRANSMITTAL Filing Date July 29, 2003 **FORM** First Named Inventor John R. Hampton Art Unit (to be used for all correspondence after initial filing) Examiner Name Attorney Docket Number 41394-9USD1 Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance communication Fee Transmittal Form Drawing(s) to Technology Center (TC) Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to TC Petition Amendment/Reply (Appeal Notice, Brief, Reply Brief) Petition to Convert to a Proprietary Information After Final **Provisional Application** Power of Attorney, Revocation Status Letter Affidavits/declaration(s) Change of Correspondence Address Other Enclosure(s) (please **Terminal Disclaimer** Extension of Time Request Identify below): SB/08, return postcard Request for Refund Express Abandonment Request CD, Number of CD(s) Information Disclosure Statement Remarks Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Margaret A. Boulware, Reg. No. 28,708 JENKENS & GILCHRIST Individual name Signature No 24477 Date 10/29/03 CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. Typed or printed name Diane Bergin rane Bergir Date 10/29/03 Signature

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.